

**IOLTA Rate Comparability Information Form**

Financial Institution \_\_\_\_\_  
Mailing Address \_\_\_\_\_ City \_\_\_\_\_ ST \_\_\_\_\_ Zip \_\_\_\_\_

Contact Name \_\_\_\_\_

Phone \_\_\_\_\_ Fax \_\_\_\_\_ Email \_\_\_\_\_

Website (URL): \_\_\_\_\_

**Note:** If your financial institution agrees to one of the two approaches (“**Approach A: Prime Partner**” or “**Approach B: Benchmark Yield**”) below, then your financial institution is **automatically** in compliance with the Foundation’s amended IOLTA Rules. Please indicate which approach (“**Approach A: Prime Partner**” or “**Approach B: Benchmark Yield**”) you have chosen and return the completed, signed PAGE 1 to the Foundation.  
If you do not choose Approach A or B, you are required to complete and return PAGES 1-4 and submit **all** of the supporting documentation (i.e. brochures, rate sheets, marketing material, etc.) to the Foundation for determination as to whether your financial institution complies with the amended IOLTA Rules. The Foundation’s staff will review the material and then inform you as to whether your financial institution is in compliance with the amended IOLTA Rules.

**Prime Partner**

- Approach A.**  Enroll in the Prime Partner program by agreeing to pay, net of allowable reasonable fees, the higher of:
- 75.00% of the Federal Funds Target rate for IOLTA accounts; or
  - a minimum of 1.00% on IOLTA accounts

**Benchmark Yield**

- Approach B.**  Pay a benchmark yield that is, net of allowable reasonable fees, the higher of:
- 65.00% of the Federal Funds Target rate for IOLTA accounts; or
  - a minimum of 0.65% on IOLTA accounts

Signature \_\_\_\_\_ Printed Name \_\_\_\_\_ Title \_\_\_\_\_

Remit the completed IOLTA Rate Comparability Information Form to the Texas Access to Justice Foundation at:

**Mailing Address**  
Texas Access to Justice Foundation  
P.O. Box 12886  
Austin, TX 78711-2886

**Fax**  
Fax: 512.469.0112

## Information about Accounts Offered by this Financial Institution

Note: Your financial institution MUST submit information (i.e. brochures, rate sheets, etc.) that document the information that you write on this sheet.

### IOLTA Accounts

1. This financial institution's IOLTA accounts currently are maintained as follows:

a. Product name:	
b. Product classification:	

2. Rate and fee information  
Interest- or Dividend-Bearing Checking and Cash Management Accounts Offered to Non-IOLTA Customers

1. Sweep Accounts. Does this financial institution offer overnight REPO sweep, mutual fund sweep, or other overnight business sweep accounts? (If "Yes," please complete Page Four (4). Important note: Overnight REPO, mutual fund, and other business sweep accounts should be made available to qualifying IOLTA account customers.)

2. Other Accounts. Does this financial institution offer other interest- or dividend-bearing checking accounts? (If "Yes," please complete Page Three (3). Important note: These types of accounts should be made available to qualifying IOLTA account customers.)

### Interest Rate and Service Fee Data

#### IOLTA Accounts

1. Interest Rates Offered by Financial Institution by Tier, Range and Earning Period

Earning Period	Tier				
	Tier 1	Tier 2	Tier 3	Tier 4	Tier 5
Tier Range: From >>	\$	\$	\$	\$	\$
To >>	\$	\$	\$	\$	\$
<< Enter current date & rates here >>					

2. Service Fees Charged on These Accounts

- a. Monthly maintenance / in-lieu-of-minimum-balance fee, if any \$
- b. Minimum balance for waiving maintenance fee \$
- c. Per-transaction / activity fees (Yes or No) \$
- d. IOLTA Handling Fee (if any) per month \$
- e. Any other fees charged? (Yes or No) -- If "Yes," please describe in #3 below and give amount(s) in an attachment

3. Other information or comments -- Please enter below or in an attachment

### Highest-Rate Other Interest- or Dividend-Bearing Accounts (if any) Available to Non-IOLTA Customers

**Important Note:** A financial institution must fill out this section if the financial institution offers any of these types of accounts, because IOLTA accounts that meet the same minimum balance and/or other requirements, if any, may be eligible for these products.

Product Name: \_\_\_\_\_

#### 1. Interest Rates Offered by Financial Institution by Tier, Range and Earning Period

Earning Period	Tier Range: From >>		Tier 1	Tier 2	Tier 3	Tier 4	Tier 5
	To >>		\$	\$	\$	\$	\$
			\$	\$	\$	\$	\$
			\$	\$	\$	\$	\$
<< Enter current data & rates here >> and attach rate schedule							

#### 2. Service Fees Charged on These Accounts

- a. Monthly maintenance / in-lieu-of-minimum-balance fee, if any \_\_\_\_\_ \$
- b. Minimum balance for waiving maintenance fee \_\_\_\_\_ \$
- c. Per-transaction / activity fees (Yes or No) \_\_\_\_\_ Please attach fee schedule
- d. Any other fees charged? (Yes or No) -- If "Yes," please describe in #3 below  
and give amount(s) in an attachment \_\_\_\_\_

#### 3. Eligibility criteria -- Please enter below or in an attachment

#### 4. Other information or comments -- Please enter below or in an attachment

**REPO Sweep, Mutual Fund Sweep, or Other Overnight Business Sweep Accounts (if any) Available to Non-IOLTA Customers**

**Important Note:** A financial institution must fill out this section if it offers REPO sweep, mutual fund sweep or other overnight business sweep accounts because IOLTA accounts meeting the same minimum balance and/or other requirements, if any, may be eligible for these products. Your financial institution **MUST** submit information (i.e. brochures, rate sheets, etc.) that document the information that you write on this sheet.

**1. Interest Rates Offered by Financial Institution, by tier range and earning period**      Product Name: \_\_\_\_\_

Earning Period	Tier Range: From >>		Tier 1	Tier 2	Tier 3	Tier 4	Tier 5
	To >>		\$	\$	\$	\$	\$
____/____/____	<< Enter current date & rates here >>						
	and attach rate schedule						

**2. Requirements Applied to, and Service Fees Charged on, These Accounts**

- a. Monthly sweep fee \_\_\_\_\_ \$
- b. Other monthly maintenance / in-lieu-of-minimum-balance fee, if any \_\_\_\_\_ \$
- c. Minimum balance for waiving maintenance fee \_\_\_\_\_ \$
- d. Per-transaction / activity fees charged? (Yes or No) \_\_\_\_\_ Please attach fee schedule
- e. Any other fees charged? (Yes or No) -- if "Yes," please describe in N3 below \_\_\_\_\_ and indicate amount(s) in an attachment
- f. Minimum un-swept Target Balance required, if any \_\_\_\_\_ \$
- g. Earnings Credit Rate, if any, applied to Target Balance: \_\_\_\_\_ Percent

**3. Eligibility criteria -- Please enter below or in an attachment**

**4. Other information or comments -- Please enter below or in an attachment**