

IOLTA Rate Comparability Information Form

Institution ID «ID» Financial Institution «InstitutionName»

Address _____

Contact Name _____ City _____

State _____ Zip Code _____ Email _____

Phone _____ Fax _____ Website (URL): _____

Note: If your financial institution agrees to one of the two approaches (“**Approach A: Prime Partner**” or “**Approach B: Benchmark Yield**”) below, then your financial institution **automatically** is in compliance with the Foundation’s revised IOLTA Rules. All that you must do is indicate which approach (“**Approach A: Prime Partner**” or “**Approach B: Benchmark Yield**”) you have chosen and return this signed form to the Foundation.

If you do not choose either Approach A or B, then you are required to fill out the following pages and submit **all** of the supporting documentation (i.e. brochures, rate sheets, marketing material, etc.) to the Foundation for determination as to whether your financial institution complies with the revised IOLTA Rules. The Foundation’s staff will review the material and then inform you as to whether your financial institution is in compliance with the revised IOLTA Rules.

Prime Partner

Approach A.

Enroll in the Prime Partner program by agreeing to pay, net of allowable reasonable fees, the higher of:

- 75.00% of the Federal Funds Target rate for IOLTA accounts; or
- a minimum of 1.00% on IOLTA accounts

Benchmark Yield

Approach B.

Pay a benchmark yield that is, net of allowable reasonable fees, the higher of:

- 65.00% of the Federal Funds Target rate for IOLTA accounts; or
- a minimum of 0.65% on IOLTA accounts

Signature _____ Printed Name _____ Title _____

Remit this completed IOLTA Rate Comparability Information Form to the Texas Access to Justice Foundation at:

Physical Mail

Texas Access to Justice Foundation
P.O. Box 12886
Austin, TX 78711-2886

Via Fax

Fax: (512) 469-0112

Via Email

Email: iolta@teajf.org

Information about Accounts Offered by this Financial Institution

Note: Your financial institution **MUST** submit information (i.e. brochures, rate sheets, etc.) that document the information that you write on this sheet.

A. IOLTA Accounts

1. This financial institution's IOLTA accounts currently are maintained as follows:

a. Product name:	
b. Product classification:	

2. Rate and fee information

Interest Rate and Service Fee Data

A. IOLTA Accounts

1. Interest Rates Offered by Financial Institution By Tier, Range And Earning Period

Earning Period			Tier Range: From >>		Tier 1	Tier 2	Tier 3	Tier 4
			To >>		\$ -	\$ -	\$ -	\$ -
___/___/2009			<< Enter current date & rates here >>					

2. Service Fees Charged on These Accounts

a. Monthly maintenance / in-lieu-of-minimum-balance fee, if any	\$	
b. Minimum balance for waiving maintenance fee	\$	
c. Per-transaction / activity fees (Yes or No)		
d. IOLTA Handling Fee (if any) per month	\$	
e. Any other fees charged? (Yes or No) -- If "Yes," please describe in #3 below and give amount(s) in an attachment		

3. Other information or comments -- Please enter below or in an attachment

B. Highest-Rate Other Interest- or Dividend-Bearing Accounts (if any) Available to Non-IOLTA Customers

Important note: A financial institution must fill out this section if the financial institution offers any of these types of accounts, because IOLTA accounts that meet the same minimum balance and/or other requirements, if any, may be eligible for these products.

Product Name: _____

1. Interest Rates Offered By Financial Institution By Tier, Range And Earning Period

Earning Period	Tier Range: From >>	Tier 1	Tier 2	Tier 3	Tier 4
		To >>	\$ -	\$ -	\$ -
		\$ -	\$ -	\$ -	\$ -
____/____/2009	<< Enter current date & rates here >> and attach rate schedule				

2. Service Fees Charged on These Accounts

- a. Monthly maintenance / in-lieu-of-minimum-balance fee, if any \$ _____
- b. Minimum balance for waiving maintenance fee \$ _____
- c. Per-transaction / activity fees (Yes or No) _____
- d. Any other fees charged? (Yes or No) -- *If "Yes," please describe in #3 below and give amount(s) in an attachment* _____

3. Eligibility criteria - *Please enter below or in an attachment*

4. Other information or comments -- *Please enter below or in an attachment*

C. REPO Sweep, Mutual Fund Sweep, or Other Overnight Business Sweep Accounts (if any) Available to Non-IOLTA Customers

Important Note: A financial institution must fill out this section if it offers REPO sweep, mutual fund sweep or other overnight business sweep accounts because IOLTA accounts meeting the same minimum balance and/or other requirements, if any, may be eligible for these products. Your financial institution **MUST** submit information (i.e. brochures, rate sheets, etc.) that document the information that you write on this sheet.

1. Interest Rates Offered by Financial Institution, by tier range and earning period Product Name: _____

Earning Period	Tier Range: From >> To >>	Tier 1	Tier 2	Tier 3	Tier 4
		\$	\$	\$	\$
___/___/2009	<< Enter current date & rates here >> and attach rate schedule				

2. Requirements Applied to, and Service Fees Charged on, These Accounts

- a. Monthly sweep fee \$
- b. Other monthly maintenance / in-lieu-of-minimum-balance fee, if any \$
- c. Minimum balance for waiving maintenance fee \$
- d. Per-transaction / activity fees charged? (Yes or No) _____
- e. Any other fees charged? (Yes or No) -- *If "Yes," please describe in #3 below and indicate amount(s) in an attachment* _____
- f. Minimum un-swept Target Balance required, if any \$
- g. Earnings Credit Rate, if any, applied to Target Balance: Percent

3. Eligibility criteria - Please enter below or in an attachment

4. Other information or comments -- Please enter below or in an attachment