IOLTA Rate Comparability Information Form

Financial Institution

Mailing Address _____________________________ City ____________________ ST ______ Zip ________

Contact Name ______________________________

Phone __________________ Fax __________ Email __________________

Website (URL): ____________________________________________

Note: If your financial institution agrees to one of the two approaches ("Approach A: Prime Partner" or "Approach B: Benchmark Yield") below, then your financial institution is automatically in compliance with the Foundation's amended IOLTA Rules. Please indicate which approach ("Approach A: Prime Partner" or "Approach B: Benchmark Yield") you have chosen and return the completed, signed PAGE 1 to the Foundation.

If you do not choose Approach A or B, you are required to complete and return PAGES 1-4 and submit all of the supporting documentation (i.e. brochures, rate sheets, marketing material, etc.) to the Foundation for determination as to whether your financial institution complies with the amended IOLTA Rules. The Foundation's staff will review the material and then inform you as to whether your financial institution is in compliance with the amended IOLTA Rules.

Prime Partner

Approach A. □

Enroll in the Prime Partner program by agreeing to pay, net of allowable reasonable fees, the higher of:

- 75.00% of the Federal Funds Target rate for IOLTA accounts; or
- a minimum of 1.00% on IOLTA accounts

Benchmark Yield

Approach B. □

Pay a benchmark yield that is, net of allowable reasonable fees, the higher of:

- 65.00% of the Federal Funds Target rate for IOLTA accounts; or
- a minimum of 0.65% on IOLTA accounts

Signature __________________________________________ Printed Name ____________________ Title ________

Remit the completed IOLTA Rate Comparability Information Form to the Texas Access to Justice Foundation at:

Mailing Address __________________________________________ Fax __________________

Texas Access to Justice Foundation
P.O. Box 12886
Austin, TX 78711-2886

Fax: 512.469.0112

Page 1 of 4
Information about Accounts Offered by this Financial Institution

Note: Your financial institution **MUST** submit information (i.e. brochures, rate sheets, etc.) that document the information that you write on this sheet.

IOLTA Accounts

1. **This financial institution's IOLTA accounts currently are maintained as follows:**
   
a. Product name:
   
   b. Product classification:

2. **Rate and Fee Information**

   **Interest- or Dividend-Bearing Checking and Cash Management Accounts Offered to Non-IOLTA Customers**

   1. **Sweep Accounts.** Does this financial institution offer overnight REPO, sweep, mutual fund sweep, or other overnight business sweep accounts? (If "Yes," please complete Page Four (4). Important note: Overnight REPO, mutual fund, and other business sweep accounts should be made available to qualifying IOLTA account customers.)

   2. **Other Accounts.** Does this financial institution offer other interest- or dividend-bearing checking accounts? (If "Yes," please complete Page Three (3). Important note: These types of accounts should be made available to qualifying IOLTA account customers.)

**Interest Rate and Service Fee Data**

**IOLTA Accounts**

1. **Interest Rates Offered by Financial Institution by Tier, Range and Earning Period**

<table>
<thead>
<tr>
<th>Earning Period</th>
<th>Tier 1</th>
<th>Tier 2</th>
<th>Tier 3</th>
<th>Tier 4</th>
<th>Tier 5</th>
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<tbody>
<tr>
<td>Tier Range: From &gt;&gt;</td>
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   << Enter current date & rates here >>

2. **Service Fees Charged on These Accounts**
   
a. Monthly maintenance (in-lieu-of-minimum-balance fee, if any) $ 
   
b. Minimum balance for waiving maintenance fee $ 
   
c. Per-transaction / activity fees (Yes or No) $ 
   
d. IOLTA Handling Fee (If any) per month $ 
   
e. Any other fees charged? (Yes or No) – If "Yes," please describe in B3 below and give amount(s) in an attachment $ 

3. **Other Information or comments – Please enter below or in an attachment**
Highest-Rate Other Interest- or Dividend-Bearing Accounts (if any) Available to Non-IOLTA Customers

**Important note:** A financial institution must fill out this section if the financial institution offers any of these types of accounts, because IOLTA accounts that meet the same minimum balance and/or other requirements, if any, may be eligible for these products.

**Product Name:**

### 1. Interest Rates Offered by Financial Institution by Tier, Range and Earning Period

<table>
<thead>
<tr>
<th>Earning Period</th>
<th>Tier Range: From &gt;&gt;</th>
<th>Tier 1</th>
<th>Tier 2</th>
<th>Tier 3</th>
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</tbody>
</table>

<< Enter current data & rates here >>

and attach rate schedule

### 2. Service Fees Charged on These Accounts

a. Monthly maintenance / In-lieu-of-minimum-balance fee, if any

b. Minimum balance for waiving maintenance fee

c. Per-transaction / activity fees (Yes or No) 

Please attach fee schedule and give amount(s) in an attachment

d. Any other fees charged? (Yes or No) -- if "Yes," please describe in BD below

### 3. Eligibility criteria -- Please enter below or in an attachment

### 4. Other information or comments -- Please enter below or in an attachment
REPO Sweep, Mutual Fund Sweep, or Other Overnight Business Sweep Accounts (if any) Available to Non-IOLTA Customers

**Important Note:** A financial institution must fill out this section if it offers REPO sweep, mutual fund sweep or other overnight business sweep accounts because IOLTA accounts meeting the same minimum balance and/or other requirements, if any, may be eligible for these products. Your financial institution **MUST** submit information (i.e., brochures, rate sheets, etc.) that document the information that you write on this sheet.

1. Interest Rates Offered by Financial Institution, by tier range and earning period

<table>
<thead>
<tr>
<th>Tier Range: From &gt;&gt;</th>
<th>Tier 1</th>
<th>Tier 2</th>
<th>Tier 3</th>
<th>Tier 4</th>
<th>Tier 5</th>
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</table>

**Enter current date & rates here >>
and attach rate schedule**

2. Requirements Applied to, and Service Fees Charged on, These Accounts

   a. Monthly sweep fee
   b. Other monthly maintenance/minimum-balance fee, if any
   c. Minimum balance for waiving maintenance fee
   d. Per-transaction/activity fees charged? (Yes or No) **Please attach fee schedule**
   e. Any other fees charged? (Yes or No) --- "Yes," please describe in #3 below and indicate amount(s) in an attachment
   f. Minimum un-swept Target Balance required, if any
   g. Earnings Credit Rate, if any, applied to Target Balance:

<table>
<thead>
<tr>
<th></th>
<th>$</th>
<th>Percent</th>
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</thead>
</table>

3. Eligibility criteria — **Please enter below or in an attachment**

4. Other Information or comments — **Please enter below or in an attachment**